| SEC Form 4 |
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# FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |

| Instruction 1(b).                      | continue. See  |                 | Filed pursuant to Section 16(a) of the Securities Exchange Act of 19     | 34  | nours per i                      | response: 0.5                                     |  |  |
|--|--|-----------------|--|---|----------------------------------|---|--|--|
|  |  |                 | or Section 30(h) of the Investment Company Act of 1940                   |   |                                  |   |  |  |
| 1                                      | Name and Address of Reporting Person <sup>*</sup><br>Koss Michael J Jr |                 | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>KOSS CORP [ KOSS ] | (Check all ap<br>Dire   | olicable)                        | erson(s) to Issuer<br>10% Owner<br>Other (specify |  |  |
| (Last)<br>C/O KOSS COF<br>4129 N. PORT |  |                 | 3. Date of Earliest Transaction (Month/Day/Year)<br>06/02/2021           | belo  | w) <sup>T</sup><br>P - Marketing | below)<br>& Product                               |  |  |
| (Street)<br>MILWAUKEE<br>(City)        | WI<br>(State)  | 53212<br>(Zip)  | 4. If Amendment, Date of Original Filed (Month/Day/Year)                 | 6. Individual or Joint/Group Filing (Check Applicable<br>Line)<br>X Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |                                  |   |  |  |
|  |  | Table I - Non-D | erivative Securities Acquired, Disposed of, or Ben                       | eficially Owr   | ed                               |   |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any | 3.<br>Transa<br>Code ( |   | 4. Securities<br>Disposed Of |               |                               | 5. Amount of<br>Securities<br>Beneficially                        | 6. Ownership<br>Form: Direct<br>(D) or Indirect | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|------------------------|---|------------------------------|---------------|-------------------------------|---|---|---|
|                                 |  | (Month/Day/Year)                        | 8)<br>Code             | v | Amount                       | (A) or<br>(D) | Price                         | Owned Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) |   |   |
| Common Stock                    | 06/02/2021                                 |   | S                      |   | 10,000                       | D             | <b>\$40.51</b> <sup>(1)</sup> | 36,000  | D   |   |
| Common Stock                    |  |   |                        |   |                              |               |                               | 11  | Ι   | ESOP  |

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   |   |  | ( 0/1  | ,    | ,  |   | ,  | • /                 |   |       |   | ,  |  |  |  |
|---|---|--|--|------|--|---|--|---------------------|---|-------|---|--|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | h/Day/Year) Execution Date,<br>if any<br>(Month/Day/Year) (Month/Day/Year) (Mont |      | Deriv<br>Secu<br>Acqu<br>(A) of<br>Dispo | vative<br>virties<br>vired<br>r<br>osed<br>)<br>r. 3, 4 | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | ate                 | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>3 and 4) |       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |  |  | Code | v  | (A)   | (D)  | Date<br>Exercisable | Expiration<br>Date  | Title | Amount<br>or<br>Number<br>of<br>Shares              |  |  |  |  |

#### **Explanation of Responses:**

1. The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$40.50 to \$40.57, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

### **Remarks:**

/s/ David D. Smith, as attorney-in-fact

06/03/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.